

Docket No. 4754-4000US2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Prashant Parikh and Stanley Peters **Confirmation No.:** 8127  
**Serial No.:** 10/799,429 **Group Art Unit:** 2172  
**Filed:** March 11, 2004 **Examiner:** Yicun Wu  
**For:** NAVIGATION IN A HIERARCHICAL STRUCTURED  
TRANSACTION PROCESSING SYSTEM

**EXPRESS MAIL CERTIFICATE**

Express Mail Label No.: EV 825 704 841 US

Date of Deposit: April 3, 2007

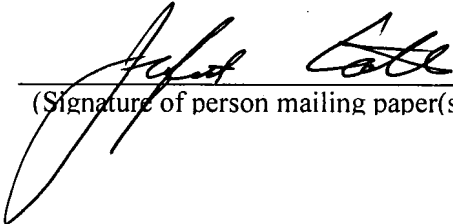
I hereby certify that the following attached paper(s) and/or fee

1. Amendment And Response To Office Action Mailed January 3, 2007 (6 pages);
2. Compact Disk Transmittal (1 page);
3. 2 Compact Discs: Computer program listing appendix (copy 1, copy 2 (identical to copy 1)); Computer Program Listing Appendix: format: IBM PC; operating system capability: MS-Windows; files contained on compact disc: "Appendix\_A.txt" (117 Kbytes); date of the creation: 04/02/2007; "COPY 2"; and
4. Return postcard card.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner of Patent, P.O. Box 1450, Alexandria, VA 22313-1450.

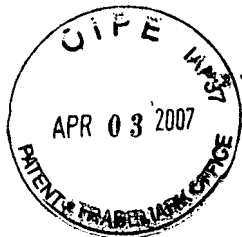
Jafet Cotto

(Typed or printed name of person mailing papers(s) and/or fee)

  
(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

Customer No. 27123



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**COMPACT DISC TRANSMITTAL**

Enclosed herewith in the following application are two (2) CD-ROMS as specified below:

Application Serial No.: 10/799,429

Filed:

March 11, 2004

Examiner: Yicun Wu

Group Art Unit:

2172

**INVENTOR(s)/APPLICANT(s)**

LAST NAME	FIRST NAME	MIDDLE INITIAL	RESIDENCE (CITY AND EITHER STATE OR FOREIGN COUNTRY)
Parikh	Prashant		New York, NY, USA
Peters	Stanley		Menlo Park, CA, USA

TITLE

NAVIGATION IN A HIERARCHICAL STRUCTURED TRANSACTION PROCESSING SYSTEM

**CORRESPONDENCE ADDRESS**

☒ Customer Number or  
☐ Correspondence address below

**ADDRESS ASSOCIATED WITH  
CUSTOMER NUMBER  
27123**

Name  
Address

City  
Country

State  
Telephone

Zip Code  
Fax

**ENCLOSED (check all that apply)**

- ☒ Computer program listing appendix (copy 1, copy 2 (identical to copy 1))
- ☒ Computer Program Listing Appendix: format: IBM PC; operating system capability: MS-Windows; files contained on compact disc: "Appendix\_A.txt" (117 Kbytes); date of the creation: 04/02/2007; "COPY 2".
- ☒ The computer program listing appendix on compact disc "COPY 2" is identical to compact disc "COPY 1"

Respectfully submitted,

Signature

Date: April 3, 2007

Type or Print Name

Richard Straussman

Registration No.

39,847